



JC02 Rec'd PCT/PTO 16 JUN 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Helmut Kinder**

Serial No.: **10 / 533,776**

Date Filed: **May 4, 2005**

Title: **Device and Method for the
Evaporative Deposition of a
Coating Material**

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Group Art Unit: **unassigned**

Examiner: **unassigned**

Atty Docket No.: **B31293US**

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Signature

Wong J. Ho

Date

June 14, 2005

TRANSMITTAL LETTER

Enclosed for filing relating to the above-identified application are the following documents:

- ☒ Information Disclosure Statement;
- ☒ Form PTO-1449; and
- ☒ Return-receipt postcard.

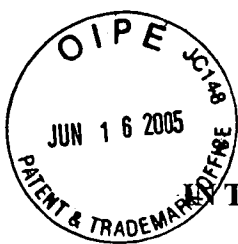
No fee is believed to be due with the submission of this document; however, the Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees.

Respectfully submitted,

JUNE 13, 2005
Date

Ray Ferrera

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ATTORNEY FOR APPLICANT



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Date

June 14, 2005

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith are patents, publications or other information of which the undersigned is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to herein is in fact "material" or "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

This Information Disclosure Statement is filed within three months after the filing date of a national application, within three months of the date of entry of the national stage as set forth in

§1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449. A copy of each item listed on PTO-1449 is not supplied herewith. A concise explanation of the items listed on PTO-1449 is given.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

June 13, 2005
Date

Ray Ferrera
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JUN 16 2005

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1
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of 4

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Complete if Known	
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Application Number	10/533,776
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Filing Date	May 4, 2005
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First Named Inventor	Helmut Kinder
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Art Unit	unknown
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Examiner Name	unknown
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Attorney Docket Number	B31293US
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁹
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
/RB/		WO 98/22635	05-28-1998	Micron...		
/RB/		DT 25 13 813 A1	01-02-1976	Airco, Inc.		
/RB/		EP 01108364	04-25-1989	Ishikawajima...		
/RB/		EP 01108363	04-25-1989	Ishikawajima...		
/RB/		EP 61003880	01-09-1986	Taiyo Yuden...		
/RB/		EP 01264114	10-20-1989	Sumitomo...		

Examiner Signature	/Richard Bueker/
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Date Considered	
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11/03/2008

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

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of

4

1

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Application Number	10/533,776
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10/533,776

Filing Date	May 4, 2005
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May 4, 2005

First Named Inventor	Helmut Kinder
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Helmut Kinder

Art Unit	unknown
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unknown

Examiner Name	unknown
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unknown

Attorney Docket Number	B31293US
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
/RB/		EP 09095775	04-08-1997	Chugai Ro Co...		
/RB/		EP 11086647	03-30-1999	Fujikura Ltd.		
/RB/		JP 9-95775				
/RB/		JP 61-195968				
/RB/		JP 61-3880				
/RB/		JP 2002-97566				

Examiner
Signature

/Richard Bueker/

Date

	Not Considered	Considered
1. The number of people who are affected by the problem.		
2. The severity of the problem.		
3. The likelihood of the problem occurring.		
4. The cost of the problem.		
5. The time it takes to solve the problem.		
6. The complexity of the problem.		
7. The availability of resources to solve the problem.		
8. The potential for future problems.		
9. The impact of the problem on the organization's reputation.		
10. The impact of the problem on the organization's financial performance.		
11. The impact of the problem on the organization's legal obligations.		
12. The impact of the problem on the organization's ethical standards.		
13. The impact of the problem on the organization's social responsibilities.		
14. The impact of the problem on the organization's environmental sustainability.		
15. The impact of the problem on the organization's overall success.		

11/03/2008

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(Use as many sheets as necessary)

Sheet	2	of	4
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Application Number	10/533,776
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First Named Inventor	Helmut Kinder
Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	B31293US

[illegible]

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
/RB/		JP 2002-294479				
/RB/		DE 196 80 845	06-06-2002	Theva...		
/RB/		EP 0282839 B1	09-21-1988	International..		

**Examiner
Signature**

/ Richard Bueker /

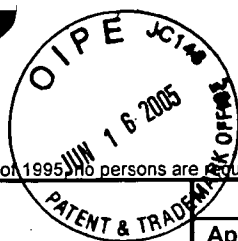
Date
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Attorney Docket Number	B31293US

Sheet 3 of 4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/RB/		SOON-GUL LEE et al., Deposition angle-dependent morphology of laser deposited YBa ₂ Cu ₃ O ₇ thin films, 320 Applied Physics Letters, 65(1994) 8 August, No.6, Woodbury, NY, US.	
/RB/		MATTHEW F. DAVIS et al., Electron beam flash evaporation for YBaCuO and BiCaSrCuO thin films, 931 Journal of Applied Physics, 66(1989) 15 November, no. 10, NY, US.	

Examiner Signature	/Richard Bueker/	Date Considered	11/03/2008
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